

Substitute for form 1449A/PTO (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) AUG 08 2005 Page 1 of 1	Application Number	10/726790
	Filing Date	December 2, 2003
	First Named Inventor	Ouderkirk, Andrew J.
	Art Unit	2879
	Examiner Name	Roy, Sikha
	Attorney Case Number	59414US002

U.S. Patent Documents					
Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code If Known)			
S.R.	A1	US- 5,959,316	09/29/1999	Lowery	
S.R.	A2	US- 6,652,996	11/25/2003	Steklenski et al.	
S.R.	A3	US- 6,717,348	04/06/2004	Takahashi	

Foreign Patent Documents						
Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Ctry. Code	Number-KindCode (If known)			
						Translation (Check if yes)

OTHER DOCUMENTS			
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
S.R.	C1	Inquiry Documents, 54 pages.	

*Examiner: <u>Sikha Roy</u>	Date Considered: <u>11/29/05</u>
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Information Disclosure Statement)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Page 1 of 4



Application Number	10/762678- 10/726,790
Filing Date	January 20, 2004- 12/2/03
First Named Inventor	Ouderkirk, Andrew J.
Art Unit	2875- 2879
Examiner Name	Unknown Sikha Roy
Attorney Case Number	59414US002

U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
S.R.	A1	US- 2001-001207	5/17/01	Shimizu et al.	
	A2	US- 2001-0013668	8/16/01	Neavin et al.	
	A3	US- 2002-0017651	2/14/02	Kato et al.	
	A4	US- 2002-0043926	4/18/02	Takahashi et al.	
	A5	US- 2002-0054406	5/09/02	Duerksen	
	A6	US- 2002-0063520	5/30/02	Yu et al.	
	A7	US- 2002-0079837	6/27/02	Okazaki	
	A8	US- 2002/0084748	7/4/02	Ayala et al.	
	A9	US- 2002/0084749	7/4/02	Ayala et al.	
	A10	US- 2002-0085601	7/04/02	Wang et al.	
	A11	US- 2002-0121645	9/05/02	Yasukawa et al.	
	A12	US- 2002-0140880	10/03/02	Weindorf et al.	
	A13	US- 2002/0154406	10/24/02	Merrill et al.	
S.R.	A14	US- 2002/0180351	12/5/02	McNulty et al.	
	A15	US- 2003-0002272	1/02/03	Suehiro et al.	
	A16	US- 2003-0038295	2/27/03	Koda	
	A17	US- 2003/0217806	11/27/03	Tait et al.	
	A18	US- 2004-0116033	06/17/04	Ouderkirk et al.	
	A19	US- 2004-0144987	7/29/04	Ouderkirk et al.	
	A20	US- 2004-0145289	7/29/04	Ouderkirk et al.	
	A21	US- 2004-0145312	7/29/04	Ouderkirk et al.	
	A22	US- 2004-0145895	7/29/04	Ouderkirk et al.	
	A23	US- 2004-0145913	7/29/04	Ouderkirk et al.	
	A24	US- 2004-0159900	8/19/04	Ouderkirk et al.	
	A25	US- 2004-0149998	08/05/04	Henson et al.	
	A26	US- 2004-0150991	8/05/04	Ouderkirk et al.	
	A27	US- 2004-0150997	8/05/04	Ouderkirk et al.	
S.R.	A28	US- 5,540,978	7/30/96	Schrenk	

*Examiner:

Sikha Roy

Date Considered:

11/29/05

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Page 2 of 4

Application Number	10/762678 10/726,790
Filing Date	January 20, 2004 12/2/03
First Named Inventor	Ouderkirk, Andrew J.
Art Unit	2875-2879
Examiner Name	Unknown Sikha Roy
Attorney Case Number	59414US002

U.S. Patent Documents					
Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
S.R.	A29	US- 5,540,987	7/30/96	Mudge et al.	
	A30	US- 5,568,316	10/22/96	Schrenk et al.	
	A31	US- 5,813,752	9/29/98	Singer et al.	
	A32	US- 5,813,753	9/29/98	Vriens et al.	
	A33	US- 5,831,375	11/03/98	Benson, Jr.	
	A34	US- 5,882,774	3/16/99	Jonza et al.	
	A35	US- 6,010,751	1/4/00	Shaw et al.	
	A36	US- 6,101,032	8/08/00	Wortman et al.	
	A37	US- 6,155,699	12/05/00	Miller et al.	
	A38	US- 6,172,810	1/9/01	Fleming et al.	
	A39	US- 6,186,649	2/13/01	Zou et al.	
	A40	US- 6,340,824	1/22/02	Komoto et al.	
S.R.	A41	US- 6,366,018	04/02/02	Garbuzov et al.	
	A42	US- 6,368,699	4/9/02	Gilbert et al.	
	A43	US- 6,373,188	4/16/02	Johnson et al.	
	A44	US- 6,404,125	6/11/02	Garbuzov et al.	
	A45	US- 6,486,997	11/26/02	Bruzzone et al.	
	A46	US- 6,501,091	12/31/02	Bawendi et al.	
	A47	US- 6,504,301	1/07/03	Lowery	
	A48	US- 6,506,480	1/14/03	Liu et al.	
	A49	US- 6,515,785	02/04/03	Cobb, Jr. et al.	
	A50	US- 6,521,329	2/18/03	Aylward et al.	
	A51	US- 6,531,230	3/11/03	Weber et al.	
	A52	US- 6,534,158	3/18/03	Huang et al.	
	A53	US- 6,547,400	4/15/03	Yokoyama	
	A54	US- 6,578,421	6/17/03	Ishikawa et al.	
	A55	US- 6,601,962	8/05/03	Ehara et al.	
S.R.	A56	US- 6,635,903	10/21/03	Kato et al.	

*Examiner: Sikha Roy

Date Considered:

11/29/05

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement)

Substitute for form 1449A/PTO (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Page 3 of 4	Application Number	10/762670- 10/126,790
	Filing Date	January 20, 2004-12/2/03
	First Named Inventor	Ouderklirk, Andrew J.
	Art Unit	2875 2879
	Examiner Name	Unknown-Sikha Roy
	Attorney Case Number	59414US002

U.S. Patent Documents					
Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
S.R.	A57	US- 6,744,077	6/01/04	Trottier et al.	

Foreign Patent Documents							
Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (if known)				
S.R.	B1	DE	199 51 656 A1	8/31/00			X
	B2	EP	0733919 A2	9/25/96			
	B3	EP	0795941 A1	9/17/97			
	B4	EP	EP 1 119 058 A1	7/25/01			
	B5	GB	GB 2 373 368 A	9/18/02			
	B6	WO	97/01778	1/16/97			
S.R.	B7	WO	97/10278 English Abstract	3/20/97			
	B8	WO	WO 97/48138	12/18/97			
	B9	WO	WO 99/36248	7/22/99			
	B10	WO	WO 99/60303	11/25/99			
	B11	WO	WO 01/39286	5/31/01			
	B12	WO	WO 01/75490	10/11/01			
	B13	WO	WO 02/37568	5/10/02			
	B14	WO	WO 03/029722	4/10/03			
	B15	WO	WO 04/021461	3/11/04			
	B16	JP	JP 11274572 English Abstract	10/08/99			
S.R.	B17	JP	JP 2004031843 English Abstract	1/29/04			
	B18						

OTHER DOCUMENTS			
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
S.R.	C1	US Application No. 60/407,426, Soules et al., "Coated LED With Improved Efficiency," filed August 30, 2002.	

*Examiner: Sikha Roy	Date Considered: 11/29/05
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Information Disclosure Statement)

Substitute for form 1449A/PTO (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Page 4 of 4	Application Number	10/762678 10/726,790
	Filing Date	January 20, 2004-12/2/03
	First Named Inventor	Ouderkirk, Andrew J.
	Art Unit	2875-2879
	Examiner Name	Unknown-Sikha Roy
	Attorney Case Number	59414US002

OTHER DOCUMENTS			
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
S.R.	C2	US Application No. 10/726244; Simbal, John J.; "Reflective Light Coupler"; filed 12/2/2003.	
	C3	US Application No. 10/727220; Schultz, John C.; "Illumination Assembly"; filed 12/2/2003.	
	C4	US Application No. 10/726225; Henson, Gordon D.; "Solid State Light Device"; filed 12/2/2003.	
	C5	US Application No. 10/726248; Watson, James E.; "Multiple LED Source and Method for Assembling Same"; filed 12/2/2003.	
	C6	US Application No. 10/739792; Ouderkirk, Andrew J.; "Display Including a Solid State Light Device and Method Using Same"; filed 12/18/2003.	
	C7	US Application No. 10/726257; Aguirre, Francis M.; "LED Curing Apparatus and Method"; filed 12/2/2003.	
	C8	Weber et al., "Giant Birefringent Optics in Multilayer Polymer Mirrors", Science, Vol.287, March 31, 2000, pp. 2451-2456.	
	C9	Mueller, "White Light Emitting Diodes," Intertech '03, 22 pages.	
S.R.	C10	Haaren et al., "Recent Advances in Displays for Portable Products", ASET International Display Forum on Low Power Displays, 21 July 2000, Tokyo (Japan), pp. 1-6, XP-002289713.	

*Examiner: Sikha Roy	Date Considered: 11/29/05
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Information Disclosure Statement)